Sawah Technology (3PPt)Principles: Sawah Hypothesis (1) for scientific foundation of technology evolution and Sawah Hypothesis (2) for sustainability through multi-functionality of Sawah systems in watershed agroforestry (Africa SATOYAMA System)

Why variety, fertilizer and irrigation technologies can not work in farmers' rice field in Africa?

Non-Sawah upland paddy field Guinea, Aug.03

Degraded non-Sawah lowland Paddy field, Sierra Leone, Jan. 1989

Sawah Hypothesis 1: Farmers rice fields have to be classified and demarcated based on topography, soil and hydrology. Scientific technologies can not be applied in bushy fields.



Sawah was developed using hundreds years by Chinese Farmers (Otsuka 2004)

Sawah development at savanna floodplain performed paddy yield 7t/ha at Kebbi state, Nigeria (May 2011)

Sawah

- Paddy
- Irrigation and Drainage
- Sawah Evolution
- Bio-Technology
- Eco-Technology
- Sawah System Evolution



Figure 1. Sawah system with irrigation and drainage facilities for control of water in an inland valley watershed

Quality of Sawah determines the performance of various agronomic practices . The quality of a sawah can be determined mainly by the quality of leveling. If height difference in a plot of Sawah is within 5cm, excellent, within 10cm, good, within 20cm marginal to get the targeted yield 4t/ha, if more than 30cm, paddy yield will be less than 3t/hahe.



Figure 2. Sawah: A bunded, leveled, and puddled rice field with inlet of irrigation and outlet to drainage, thus control water and weeds as well as manage nutrients





Kebbi Powertiller and sawah technology training on 10th of July 2015 at AR1 site

AR1 site in 1987

6 Stages of Sawah System Evolution (I) <u>Green Revolution is possible only after the 4th Evolutional Stage</u>

TTA 0 2 . C. MR.

1st (L) stage : Lowland non sawah rice cultivation, Inland Valley, Sierra Leone, 1987



2nd stage: <u>Irrigated micro rudimentary</u> <u>sawah. 3rd stage: ridge planted rice</u> in Inland valley, Nupe, Nigeria



Evolutionary Satge 1 or 0 (Upland rice and Fonio cultivation at Guinea)



Micro sawah plots (Evolutionary Stage 2) Archaelogical site. 2400-2500 years BP, Japan (Photo by T. Komori, 2011, http://tsu-com. 515.my.coocan.jp/H23.11.12.NakanishiIseki.html).

6 Stages of Sawah System Evolution(II)



 4^{th} Stage: Standard sawah plots with leveling quality of ± 5 cm using animal plowing, Indonesia. This has the longest history in Asia



5th stage : Standard sawah plots with leveling quality of ±5cm. Bush inland valley was developed by farmer using powertiller 6^{th} Stage: Advanced and large sawah plot of >1ha with leveling quality of ±2.5cm using laser leveler tractor (Kubota Co)



Transplanting on the 6th stage sawah. Direct sawing is possible with high performance



Figure. Six Strategies to Increase Paddy Yield and Production in SSA

- A type strategy: Upland **NERICA** technology
- B type strategy: Asian Green Revolution technology
- C type strategy: System Rice Intensification
- D type strategy: **Contractor based ODA** irrigation/drainage development
- E type strategy: Irrigation by private big business enterprises
- S type strategy: Sawah technology with sustainable mechanization



Irrigated but micro rudimentary sawah plots at Northern Nigeria Because of difficulty of water control, paddy yield is less than 3t/ha



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Irrigated Rudimentary Sawah system at Kano, Nigeria Google earth Pro



Sawah Hypothesis 1

- British Enclosure for Agricultural Revolution, Modern Science, Industrial Revolution
- Sawah and Enclosure
- Sawah as Foundation for Science

Disadvantages of the old system

People have to walk over your strips to reach theirs

Field left fallow

No hedges or fences

No proper drainage Difficult to take advantage of new Tarming techniques

Because land in different fields takes time to get to each field

Animals can trample crops and spread disease

http://www.slideshare.net/maggiesalgado/agricultural-revolution-13173417

Farmers could not take advantage of all these new ideas in the open field system



An open field village, showing the problems of strip farming.

http://www.slideshare.net/maggiesalgado/agricultural-revolution-33117637



http://www.slideshare.net/maggiesalgado/agricultural-revolution-33117637

So the open land was enclosed (http://www.slideshare.net/maggiesalgado/agricultural-revolution-33117637) The land was divided into separate farms and enclosed by hedges or walls





A. Yield	per acre	gross of	seed (bushels)	

B. Seed sown per acre (bushels)

Brown of occur (outsided)					b. beeu sown per acre (busileis)					
	Wheat	Rye	Barley	Oats	Pulses	Wheat	Rye	Barley	Oats	Pulses
1250-1299	11.27	13.73	14.41	10.91	8.93	2.56	3.02	4.16	3.67	2.90
1300-1349	10.77	13.31	13.36	10.21	8.77	2.53	2.95	3.90	3.61	2.63
1350-1399	9.96	12.00	13.67	11.12	8.43	2.49	2.79	3.92	3.63	2.57
1400-1449	8.28	13.01	12.20	9.52	7.71	2.39	2.55	3.75	2.97	2.30
1450-1499	8.94	16.75	12.74	8.42	6.57	2.45	2.79	4.18	2.48	2.08
1550-1599	10.38	11.71	12.40	11.87	10.62	2.50	2.50	4.00	4.00	3.00
1600-1649	12.95	18.78	15.16	14.97	11.62	2.50	2.50	4.00	4.00	3.00
1650-1699	13.86	16.69	16.48	14.82	11.39	2.50	2.50	4.00	4.00	3.00
1700-1749	16.36	17.32	19.38	16.27	13.23	2.57	2.50	4.30	4.00	3.00
1750-1799	19.54	20.37	25.38	24.90	17.19	2.27	2.50	3.50	4.00	3.00
1800-1849	25.56	22.02	29.70	32.37	20.35	2.41	2.50	3.80	4.00	2.50
1850-1899	29.19	28.68	27.08	35.36	18.80	2.50	2.50	3.27	4.00	2.50

Scientific technology, Sawah Hypothesis(1), and Enclosure

- 1. Scientific technology is defined as the whole of knowledges, experiences, skills and practices which can be systematically and reasonably classified and categorized, thus which can be transferred between human beings through learning, education and training. Enclosure was land demarcation, classification and rezoning practices.
- 2. Modern Western world has only been materialized through the establishment of modern sciences (S. Nakayama, H. Butterfield). It may not be a rare coincidence that active period of contributors to establish modern science, such as Nicolaus Copernicus (1472-1543), Johannes Kepler (1571-1630), Galileo Galilei (1564-1642), René Descartes (1596-1650), Robert Boyle (1627-91), Isaac Newton (1642-1727), Antoine-Laurent de Lavoisier (1743-94), James Watt (1736-1819) and Justus Freiherr von Liebig (1803-73) had been overlapped with the period of Enclosure.
- 3. Medieval manors were characterized with a set of open fields and rural community. The period of the modernization progresses were also the ages of enclosure, that is the arable lands were enclosed with stone walls, bunds, or hedges, then reclaimed the enclosed land. The first enclosure mainly on the 16th century was called that "Sheep eat men (Thomas More's Utopia)", because the landowner evicted the tenant farmers to expand pastureland for sheep rising. Whereas the second enclosure around 1700-1850 dramatically increased agricultural production.
- 4. As shown in M. Salgado(2012, <u>http://www.slideshare.net/maggiesalgado/agricultural-revolution-13173417</u>), the enclosed farmlands enabled reasonable land use plan and infrastructure development such as drainage improvement, the reduction of the waste land, conservation of land degradations originated from cultivation, pests and weed management, promotion of selective breeding, new farming techniques and the mechanization. Furthermore, various scientific farming techniques were innovated (evolved) through field experiments which were only became possible in enclosed lands.
- 5. However, since the enclosures and infrastructure development needed investments, the rich capitalists who were able to carry out enclosure became increasingly rich and the tenant and the small farmers that were not able to enclose decreased agriculture income, lost their land and became wage labors at urban areas. Consequently, the gap between rich and poor was increased. The wage labors were important for the Industrial Revolution.



Fig.6. Sawah Hypothesis (1). Prerequisite platform to apply green revolution technologies exist in fenced 1000ha of IITA's research fields, but no such infrastructures farmers' fields. A: Farmers fields with the same soils, topography and hydrology. U: demarcated upland fields along contours. S: Sawah fields at valley bottom. P: Pond for irrigation. F: Regenerated forest, E: Erosion experiment site by Prof. R. Lal and his team in 1970-80s



- **Farmers' Paddy Fields**: Diverse and mixed up environmental conditions: mixed farming systems, crops, varieties, and weeds. No clear field demarcations.
- **<u>1.The improvement of field conditions are</u>** <u>**difficult.**</u> Water cannot be controlled, therefore no soil conservation possible.
- 2. Land right of the field has overlapping with diverse people and communities. Conflicts with nomads and fishermen No incentive to improve land.
- 3. Post-harvest technology can not apply.



Green revolution (GR) technologies of fertilizer, irrigation, and high-yielding varieties (HYV) are not effective in the bushy open fields

- Sawah Fields: Lands are demarcated by bund based on topography, hydrology and soils, which makes diverse sawahs but homogeneous condition of each sawah.
- **1. Water can be controlled.** Soil is conserved. Therefore field conditions are improve through the accumulation of every year.
- 2. Land can be surveyed and registration become possible, then private ownership is promoted, which makes incentives to improve land.
- 3. Market competitive standardized paddy production becomes possible



Sawah is similar to British enclosured land, which realized Agricultural revolution. This is foundation for scientific technologies of GR

Fig 5. <u>Sawah hypothesis (1):</u> Farmers' Sawah should come the first to realize Green Revolution. Farmers fields have to be classified and demarcated ecotechnologically. Then scientific technologies can be applied effectively.



Fig. 7 : Sawah hypothesis (1) for Africa Green Revolution:

hypothetical contribution of three green revolution technologies & sawah system development during 1960-2050. Bold lines during 1960-2005 are mean rice yield by FAOSTAT 2006. Bold lines during 2005-2050 are the estimation by the authors.

	2	Contribution of factors								
Year	MV effect	Fertilizer effect	er Irrigation effect		Other factors (residual)	Total observed growth in output ^a				
		Outp	out increases	(thousand	t paddy)					
Burma	647	353	685		167	1,852				
Bangladesh	420	1,284	1,091		2,759	5,554				
China	13,231	11,507	16,153		9,609	50,500				
India	7,998	10,867	11,209		5.078	35,152				
Indonesia	3,162	2,680	2,773		4,998	13,613				
Philippines	849	1,009	801		615	3.274				
Sri Lanka	241	215	262		316	1,034				
Thailand	822	682	865		4.031	6,400				
fotal of above	27,370	28,597	33,839		27,573	117,379				
		Value (US\$ million) ^c								
	4,516	4,718	5,583		4,549	19,367				

Table 10. Contribution of specified factors to rice production increases achieved from 1965 to 1980.

"Difference between 1980 and 1965 production (USDA FG38-80). ^bA 3-year average was used for 1965 because 1965 yields were unusually low. ^cPaddy was valued at \$165/t.

Rice production increase (million t) 120 Non-irrigated land, comple-100 mentary factors 80 Irrigation effect 60 MV effect 40 20 Fertilizer effect 1965 1970 1975 1980

5. Estimated contribution of 4 separate factors to rice production increases in 8 Asian countries, 1965-80.

Herdt RW and Capule C. 1983. Adoption, spread, and production impact of modern rice varieties, 1-54, http://books.irri.org/getpd f.htm?book=9711040832



Figure 8a. Historical path of Japanese and world population, Sawah area, and paddy yield in comparison with Asia and Africa at 2001/2005 of FAOSTAT data. (Takase & Kawano 1969, Honma 1998, JICA 2003, Kito 2007, Wakatsuki 2013b)

Sawah Hypothesis 2

- Intensive Sustainability through both Macro and micro scale ecological and ecotechnological mechanisms
- Watershed Agroforestry as Africa SATOYAMA System against global warming, bio-diversity loss and hydrological cycling problems
- Multi-functionality of Sawah System

Table 2. Sawah hypothesis (2) : SustainableProductivity of high quality lowland Sawah ismore than 10 times than Upland Field

1ha sawah is equivalent to 10-15ha of upland									
	Upland	Lowland(Sawah)							
Area (%)	95 %	5 %							
Productivity (t/ha)	1-3 1 ≦ **	3-6 (2**)							
Required area for sustainable1 ha cropping*	5 ha :	1 ha							

 * Assuming 2 years cultivation and 8 years fallow in sustainable upland cultivation, while no fallow in sawah
**In Case of No fertilization Macro-scale watershed ecotechnological mechanisms to support Sawah hypothesis 2: Geological Fertilization of eroded top-soils and accumulation of nutrient rich water in lowland Sawah.

Sustainable green revolution by sawah and SATOYAMA systems for combating Global warming: (1) efficient water cycling and conservation of soil fertility, (2) Ecologically safe carbon sequestration by afforestation, bio-char and humus accumulation in sawah soil layers, which will eventually transfer to sea floor, and (3) increase soil productivity by biochar and humus accumulation.

Micro-scale eco-technological mechanisms to support Sawah hypothesis 2: Enhancement of the availability of N, P, K, Si, Ca, Mg, and micronutrients by puddling and water management. Quality organic carbon accumulation to sustain soil fertility.



Fig 9. Sawah hypothesis (2) of multi-functionality & creation of African SATOYAMA (or Watershed Agroforestry) systems to combat food crisis and global warming.



Weed in SAWAH*

	Weed(g/m ²) Soil moisture Total C-3							
	Soil moisture	Total	C-3	C-4				
Upland	30-60%	58	6	52				
Moist	80-90%	31	3	28				
Sawah	flooding (6 cm depth)	10	9	1				

*M. ARAI & I. TANAKA, 1972



Fig. Sawah soil neutralization through submergence (Ponnamperuma 1976)



Organic matter % in Sawah soils in comparison with soils in upland management (Mitsuchi 1970, 1974)



(Nishida 2007)



Figure 1. Cumulative CH₄ flux (a) and cumulative CH₄ and N₂O fluxes in terms of CO₂ equivalent global warming potential (b) during rice cropping period (January 29, 2007 (transplanting) – May 8, 2007 (harvest around this date); the conventional cropping period in dry season in the region). Bars indicate S.

E. (only for a) (n = 3).

(Hosen 2007)

AWD20: irrigation under water potential-20kP(=2-3 days after water saturation) AWD70:intermittent irrigation under water potential at-70kP(close to upland)



Prof. Kyuma Revisited his 1970 sampling site in 2003 In 2003, Dr. Darmawan collected sawah soils from the same sites where Kyuma surveyed in 1970

In 2003, Dr. Darmawan collected sawah soils from the same sites where Prof Kyuma surveyed in 1970

	Seedfarm					Non-S	-Seedfarm				
	0–20 cm		0100 cm		0–20 cm		0–100 cm				
	1970	2003	1970	2003	1970	2003	1970	2003			
Total carbon (Mg ha ⁻¹)								· · · · · · · · · · · ·			
n	18	18	18	18	22	22	22	22			
Mean	34.50	39.24	92.68	112.83	29.77	41.37	79.60	114.86			
Standard deviation	9.95	9.70	39.47	40.91	10.88	15.12	28.07	40.50			
Mean change		4,74		20.15		11.60		35.26			
% change		13.7		21.7		39.0		44.3			
t-test		*		***		***		***			
Total nitrogen (Mg ha-	¹) .				· · · ·						
n	18	18	18	18	22	22	22	22			
Mean	3.16	3.95	9.34	12.03	2.94	3.98	8.93	11.44			
Standard deviation	1.07	0.89	4.01	4.10	1.15	1.24	3.16	3.30			
Mean change		0.79		2.69		1.04		2.51			
% change		25.0		28.8		35.4		28.1			
t-test		**		***		***		***			

Table 3 Changes in total carbon and total nitrogen (Mg ha⁻¹) content in the 0-20 cm and 0-100 cm soil layers in seedfarms and non-seedfarms from 1970 to 2003 in Java, Indonesia (Darmawan et al. 2006)

n, number of sampling sites. *P < 0.05; **P < 0.01; ***P < 0.001 years during Green Revolution Table 1. Research, technology development, innovation and dissemination in the area of eco-technology are just emerging, although it philosophy is to study the past to learn new things, i.e., learning from history「温故知新」 Agriculture needs Good Environments and Good Varieties. Both Biotechnology and Ecotechnology have to be researched, developed and innovated in good balance

Bio-technology:

To improve varieties through breeding, i.e., Genetic improvement. Target is DNA improvement. Operational platform is Cell of organisms

Eco-technology:

To improve growing ecology through sawah research, i.e., Improvement of water cycling and soil condition. Target is soil and water. Operational platform is sawah in watersheds.


Weeds are stronger: upland rice, Bida

No eco-technology measures

Inland Valley, Sierra Leone

Nupe's indigenous partial water control system

Once Sawah systems are developed by farmers' self-support efforts and water is controlled, majority of HYV can produce higher than 5 t/ha

Table 2. Mean gain yield of 23 rice cultivars in low land ecologies at low(LIL) and high input levels (HIL), Ashanti, Ghana (Ofori & Wakatsuki, 2005)

Entry No. Cultivar		ECOTECHNOLOGICAL YIELD IMPROVEMENT					
		Irrigated Sawah		Rainfed sawah		Upland like fields	
		HIL	LIL	HIL	LIL	HIL	LIL
		(t/ha)		(t/ha)		(t/ha)	
	1 WAB	4.6	2.9	2.8	1.6	2.1	0.6
BIOTECHNOLOGICAL IMPROVEMENT	2 EMOK	4.0	2.8 3.5 3.7	2.9	1.3	1.4	0.5
	3 PSBRC34	7.7	3.5	3.0	2.1	2.0	0.4
	4 PSBRC54 5 PSBRC66	8.0 5.7	3.7 3.3	3.8 3.8	2.1 2.0	1.7 1.8	0.4
	6 BOAK189	7.0	3.3 3.8	3.7	2.0	1.0	0.4
	7 WITA 8	7.8	4.2	4.4	2.0	1.8	0.5
	8 Tox3108	7.1	4.1	4.0	2.3	2.3	0.4 0.3 0.5 0.6 0.5
	9 IR5558	7.9	4.0	3.8	2.0	1.8	0.5
	10 IR58088	7.7	4.0	3.7	1.8	1.4	0.3
	11 IR54742	7.7	4.3	4.0	2.2	1.9	0.4
	12 C123CU	6.9	4.1	4.2	1.9	2.0	0.4
	13 CT9737	6.5 7.3	4.0	4.0	1.7	1.9	0.6 0.5 0.5 0.3 0.5 0.3 0.3
	14 CT8003 15 CT0737 B	/.3	3.8	3.8	1.7	2.0	0.5
	15 CT9737-P 16 WITA1	8.2 7.6	4.0	4.3 3.3	1.8 1.8	1.2 0.9	0.5
	10 WITAI 17 WITA3	7.6	3.6 3.5	4.1	2.0	1.3	0.5
	18 WITA4	8.0	4.1	3.7	2.0	1.5	0.3
Ū	19 WITA6	8.0	3.5	4.0	2.3	1.4	0.3
Щ	20 WITA7	7.3	3.7		2.2	2.0	0.4
5	21 WITA9	7.6	4.4	3.8 4.5	2.8	2.0	0.6
Ĩ	22 WITA12	7.6	4.0	3.8	1.9	1.8	0.4
	23 GK88	7.5	3.8	3.5	2.0	1.8	0.5
Mean (n=23)		7.2	3.8	3.8	2.0	1.7	0.4
Range		(4.0-8.2)	(2.8-4.4)	(2.8-4.5)	(1.3-2.8)	(0.9-2.3)	(0.3-0.6)
SD		1.51	0.81	0.81	0.45	0.44	0.12

Because of cost of green revolution technology, yield must be higher than 4t/ha

Sawah and traditional non sawah rice, Pampaida, UN millennium village, Zaria

Poor tillering and aggressive weed in non sawah field

Submerged sawah: Multi functional ecosystems of various interaction between rice, algae, fish, goose, microbes, & others

Azotobacter: Chemoautotrophic Nitrogen fixing bacteria in Sawah (SSSA Slide collection) Left :nitrogen fixing Azola



Fig 12. Rice (variety) and environment (Sawah) improvement. Both Bio & Eco-technologies must be developed in appropriate balance

Table 4. Biotechnology and Sawah Eco-technology Options and
Complementation for Rice Production

(1) Water shortage and Flood damage

Biotech: Genes of deep rooting, C4-nature, Osmotic and flood toleranceEcotech: Sawah based water harvest in watersheds. Bunding, leveling, puddling, with various irrigation and drainage. Flood control systems, aerobic rice.

(2) Poor nutrition, acidity and alkalinity

Biotech: Gene of N fixation, P and various micronutrient transporters.
Ecotech: Sawah based method to increase N fixation and P, Si, K and Zn etc. availabilities. Geological fertilization and watershed agroforestry (Satoyama systems). Mixed, organic and natural farmings

(3) Weed, Pest and disease control

Biotech: Genes of various resistance, rapid growth, C4 nature

Ecotech: Sawah based weed management through water control and line transplanting. Good leveling. Sawah based silica and other nutrients supply to enhance immune mechanisms of rice. Sawah based mixed cropping, Sawah based duck, fish and rice and other rice farming.

(4) Global Warming

Biotech: Ultra high yield varieties

Ecotech: Carbon sequestration by Sawah systems through the control of oxygen supply, use of Biochar, and organic farming. System rice intensification and other ultra high yield agronomic practices

(5) Food quality and Biodiversity

Biotech: Golden rice, other vitamin rice gene

Ecotech: Fish, duck and rice in sawah systems. Satoyama agroforestry systems

Table 5. Multi Functionality of Sawah Systems

I. Intensive, diverse and sustainable nature of productivity

(1) Weed control

- (2) Nitrogen fixation ecosystems: 20 to 200kgN/ha/year
- (3) To increase Phosphate availability: concerted effect on N fixation
- (4) pH neutralizing ecosystems: to increase micro nutrient availability
- (5) Geological & irrigation fertilization: water, nutrients and topsoil from upland
- (6) Various sawah based farming systems.
- (7) Fish and rice, Goose and sawah, Birds and sawah, Forest and Sawah

II. To combat Global warming and other environmental problems

- (1) Carbon sequestration through control of oxygen supply. Methane emission under submerged condition. Nitrous oxide emission under aerobic rice
- (2) Watershed agroforestry, SATOYAMA, to generate forest at upland and to conserve bio-diversity
- (3) Sawah systems as to control flooding by enhance dam function through bund management
- (4) Sawah system as ground water recharge system and to soil erosion control
- (5) Denitrification of nitrate polluted water

III. To create cultural landscape and social collaboration

- (1) Terraced sawah as beautiful cultural landscape
- (2) Fare water distribution systems for collaboration and fare society

Sawah rice farming: Ecotechnology for Food, Environment, Landscape, and Culture(Multifunctionality) (World Heritage, Ifugao people, Philippine,Koudansha Co. Ltd, 1998)



Japanese Inland Valley system (SATO-YAMA): Integration of Forest, Pond & lowland Sawah in watersheds





Sawah is Multi-Functional Wetland: Rice, Algae, and Microbes' Complex Ecosystems





Figure 10. One Example of Africa SATO-YAMA Concept Map by Dr. Owusu, FoRIG, Ghana which is a watershed agro-forestry applicable to Cocoa belt region in West Africa.

Kumasi, Gold valley Site, Non Sawah and Cacao farm



Kumasi, Tawiah Site, Terraced sawah and Cacao and citrus farm



Kumasi, SRI assisted Baniekrom Site, lowland sawah, oilpalm, Cacao in opposite side and access road & citrus farm in front side (August 2013)

Minami Uonuma, Niigata, Japan

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Image © 2012 GeoEye 37°14′1坐72″N138°59′11.96″E標高 125 m



Mt, Oscar's Sawah rice and Cacao farm, at Afari, Kumasi, Ghana



Thanks

Traditional Nupe's Paddy fields Nigeria

New Sawah Field