

## Review Article

# Soil Degradation-Induced Decline in Productivity of Sub-Saharan African Soils: The Prospects of Looking Downwards the Lowlands with the *Sawah* Ecotechnology

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The paper provides an insight into the problem of land degradation in Sub-Saharan Africa, with emphasis on soil erosion and its effect on soil quality and productivity, and proposes a lowland-based rice-production technology for coping with the situation. Crop yields are, in addition to the degree of past and current erosion, determined by a number of interacting variables. This, coupled with the generally weak database on erosion-induced losses in crop yield in spite of the region's high vulnerability to erosion, makes it difficult to attain a reliable inference on the cause-effect relationship between soil loss and productivity. Available data suggest, however, that the region is at risk of not meeting up with the challenges of agriculture in this 21st century. Based on the few studies reviewed, methodology appears to have an overwhelming influence on the erosion-productivity response, whereas issues bordering on physical environment and soil affect the shape of the response curve. We argue that the *sawah* ecotechnology has the potential of countering the negative agronomic and environmental impacts of land degradation in Sub-Saharan Africa. This is a farmer-oriented, low-cost system of managing soil, water, and nutrient resources for enhancing lowland rice productivity and realizing Green Revolution in the region.

## 1. Introduction

Ever since mankind started agriculture, soil erosion has been the single largest threat to soil productivity and has remained so till date [1]. This is so because removal of the topsoil by any means has, through research and historical evidence, been severally shown to have many deleterious effects on the productive capacity of the soil as well as on ecological wellbeing. Doran and Parkin [2] captioned the impact of soil erosion in their popular maxim that “the thin layer of soil covering the earth's surface represents the difference between survival and extinction for most terrestrial life.” Although fertile topsoils could be lost when scraped by

heavy machineries [3], the key avenues of topsoil loss include water erosion and wind erosion. Sometimes erosion can be such gradual for so long a time as to elude detection in one's lifetime, thus making its adverse effects hard to detect. Eswaran et al. [4] propose an annual loss of 75 billion tons of soil on a global basis which costs the world about US \$400 billion per year. A review of the global agronomic impact of soil erosion identifies two severity groups of continents and reveals that Africa belongs to the more vulnerable group [5].

Soil erosion by water seems to be the greatest factor limiting soil productivity and impeding agricultural enterprise in the entire humid tropical region [6]. This is evident in many regions of Africa [7], mainly in the humid and

subhumid zones of Sub-Saharan Africa (SSA) where population pressure and deforestation exacerbate the situation and the rains come as torrential downpours, with the annual soil loss put at over 50 tons ha<sup>-1</sup> [8]. In SSA, the problem is not limited to water erosion as wind erosion prevails mainly in the semiarid and arid zones. For instance, soil loss to wind erosion of 58–80 tons ha<sup>-1</sup> has recently been reported from the West African Sahel [9]. Both forms of erosion can thus aptly define land degradation in the region. Soil erosion selectively detaches the colloidal fractions of soils and carts them away in runoff [10, 11]. These soil colloidal fractions (clay and humus) are needed for soil fertility, aggregation, structural stability, and favourable pore size distribution. The concentration of humus is usually higher in topsoils while that of clay is usually higher in subsoils due to illuviation, and this is mostly true in Ultisols that are widespread in Africa. This implies that humus, which has much greater capacity to hold water and nutrient ions compared to clay, its inorganic counterpart [12], is the more easily eroded.

In spite of the fact that the problem of land degradation is particularly severe in SSA, only little reliable data were available by the end of the 20th century both on its extent [8, 13] and on the cause-effect relationship between soil erosion and soil productivity [4, 14]. Thereafter, no significant research progress has been made to beef up the data in the region. We review in this paper the little available data, with a focus on soil properties modified by erosion and the extent of erosion-induced decline in the yield of commonly grown crops, which is viewed as a proxy for soil productivity. The survey highlights the enormous rate of soil erosion and the attendant decline in the productivity of agricultural soils in SSA. It is therefore unsurprising that, in the face of the advances so far made in biotechnology, agricultural productivity in SSA stagnates and remains perennially low as evident in hunger and poverty levels in the entire region [15, 16].

All the adverse impacts on agronomic productivity and environmental quality are respectively due to a decline in land quality and deposition of sediments and have been designated on-site effect and off-site effect, respectively [4, 11]. It is widely believed that erosion-induced deposition of sediments occurs in response to topographic gradients and that, since water does not climb hills in agricultural watersheds, the process is hardly reversible. With this in view, we make a case for tackling the agroecological problem of soil erosion in the diverse watersheds of SSA offsite rather than onsite. This is a case for the *sawah* ecotechnology, an Asian-type system of rice (*Oryza sativa* L.) production that has been adapted in the abundant lowlands in the region. The system can compensate for the loss of upland soil productivity while counteracting the environmental degradation due to soil erosion. It is viewed as the promising option to boosting rice production on a sustainable basis for the realization of the much-awaited Green Revolution in SSA.

## 2. Soil Loss and Crops Yields in Sub-Saharan Africa: A Survey of the Literature

*2.1. Indices of Soil Productivity Affected by Soil Loss.* Soil productivity is the capacity of a soil to produce a certain

yield of crops or other plants under a defined set of management practices [17]. Thus comparison of soil productivity losses to erosion should be done for similar soil and crop management scenarios. Soil productivity entails striking a balance among soil “physical,” “chemical,” and “biological” fertilities, as none is of much value without others. All these soil properties are affected by topsoil removal; crop yields are affected through the resulting changes in these soil properties. Some of the ways by which soil erosion reduces its productivity include removal of plant nutrients in the eroded sediments, exposure of root-toxic and poorly aerated subsoils, P tie-up in illuviated clay which makes it apparently the most deficient nutrient in eroded soils, soil structure deformation leading to surface sealing and crusting which reduce seedling emergence and infiltration, and nonuniform removal of soil within a field which complicates the task of managing the soil to maximize production [14, 18].

Soil erosion or simulation of topsoil loss has been severally reported to adversely influence such soil physical properties as root zone depth, gravel content, particle size distribution, strength, bulk density, porosity, aggregate stability, moisture retention capacity, moisture characteristics, saturated hydraulic conductivities, and infiltration rates in SSA [3, 19–29]. The presence of organic matter in the surface soil generally promotes aggregation and may engender a situation where moisture-retaining pores are preponderant in soil. Soil erosion reduces its productivity primarily through the loss of plant available water capacity. Three months after the artificial removal of the top (5 cm) soil at three locations in southern Nigeria, Mbagwu et al. [23] observed reductions in moisture retention capacity and saturated hydraulic conductivities of the exposed soil layer, which were greater in Ultisols than in Alfisols. Mbagwu and Lal [30] later reported that limited moisture more than increased compaction caused greater reduction in root growth and dry matter of maize (*Zea mays* L.) and cowpea (*Vigna unguiculata* L.) in those locations.

Soil chemical properties that are mostly adversely influenced by erosion or topsoil removal in SSA include pH, organic matter content, total N, available P, exchangeable bases, and cation exchange capacity [3, 21, 24–26, 28, 29, 31]. In an Alfisol in southwestern Nigeria, Lal [32] reported that the enrichment ratio (ER, the concentration of plant nutrients in eroded soil materials to that in residual soil) was 2.4 for organic matter, 1.6 for total N, 5.8 for available P, 1.7 for exchangeable K, 1.5 for exchangeable Ca, and 1.2 for exchangeable Mg. For another Alfisol in Central Kenya recording an annual soil loss of above 60 tons ha<sup>-1</sup>, the corresponding values of the ER were 2.1, 1.2, 3.2, 1.5, 1.2, and 1.0, respectively [33].

*2.2. The Nature and Magnitude of Erosion-Induced Yield Decline in Sub-Saharan Africa.* Although topsoil loss generally has adverse effects on productivity of soils, there can sometimes be an artifact in which case the loss improves soil productivity or at least does not affect it adversely [34]. This is often as a result of exposure of the surface of a previously buried productive soil following erosion [35].

Such a situation can be found in some deep Andisols and Inceptisols [26], but hardly occurs in the relatively shallow Alfisols, Ultisols, and Oxisols predominant in the tropics, in which nutrients are concentrated in the surface layer [36]. We are thus primarily concerned with the negative impact of soil erosion on soil productivity, which is the more commonly reported observation in SSA. The adverse impacts of soil erosion on agronomic productivity might be of short term or long term (Figure 1).

Virtually all the short-term effects stem from a reduction in the thickness of surface layers and a selective reduction in the components of such layers that are essential for crop production. Long-term effects stem from the ensuing progressive reduction in the rooting zone depth.

As a first-hand appreciation of the peculiarity of erosion-induced degradation in SSA, no portion of only about 3% of the global land surface considered as prime or class 1 falls into the tropical region [4], to which belongs SSA and which accounts for about 39% of the world's land surface [37]. In the humid and subhumid zones of West Africa, deforestation proceeds at a rate of about 4 million ha per year, with deforestation to reforestation ratio of 30:1 on the average [8]. However, information on the extent and severity of natural and anthropogenic soil erosion and on the quantitative cause-effect relationships between soil loss and productivity of agricultural lands prone to erosion in SSA is generally lacking or, where available, is weak, subjective, and unreliable. This situation has been attributed to the difficulty in conducting the long-term, concentrated interdisciplinary research (including financial/time constraints) which is needed to overcome the complexity posed by annual and seasonal variations in number and magnitude of erosion, the multifactorial nature of yield factors, as well as the belief that inorganic fertilizers are all-ameliorating [4, 14, 19, 35, 38]. However, available data to date suggest a severity of erosion hazards in many agroecological zones of the SSA, with cases of advanced gullies in some of the zones (Figure 2) [39].

Dregne [7] reported that irreversible soil productivity losses from water erosion appeared to be serious on a national scale in Algeria, Morocco, and Tunisia in North Africa; in Ethiopia, Kenya, and Uganda in East Africa; in Nigeria and northern Ghana in West Africa; and in Lesotho, Swaziland, and Zimbabwe in southern Africa. He observed as much as 50% productivity loss to wind erosion in part of Tunisia, and delineated areas in Africa where about 20% permanent reduction on crop productivity have resulted from human-induced water and wind erosion. Lal [14] estimated that past erosion in Africa has caused yield reduction of 2–40%, and that if present trend continues, the yield reduction by 2020 may be 16.5%.

### 2.3. Selected Cases of Assessed Impact of Soil Loss in Sub-Saharan Africa

**2.3.1. Desurfacing Experiments.** In spite of the weak points of desurfacing experiments, most studies on erosion-induced decline in soil productivity in the tropics were done on artificially-desurfaced soils in order to close the information

gap on soil loss and crop productivity relationship in the region [24]. The method is favoured in this region also because of the difficulty of separating the effect of past erosion from that of the present erosion vis-à-vis the rather few examples on the assessment of the impact of current rate of erosion on crop yield [11]. Selected trials based on topsoil desurfacing in SSA are summarized in Table 1. As a further hint to the data shown, it was reported in one of these trials that the relationship between the grain yield of maize,  $Y_a$  and  $Y_b$  (tons ha<sup>-1</sup>) in the first and second year respectively, and the depth of topsoil desurfaced,  $x$  (cm), was of the exponential form [27]:

$$\begin{aligned} Y_a &= 3.2761e^{-0.1621x} \quad (R^2 = 0.998), \\ Y_b &= 1.6116e^{-0.1489x} \quad (R^2 = 0.985). \end{aligned} \quad (1)$$

**2.3.2. Natural Soil Erosion.** Studies on natural soil erosion are relatively few in SSA because such trials are conducted on runoff plots which are limited in number in the region. Moreover, such studies do not give rapid results since erosion is a gradual process such that noticeable differences in crop yield may take a long time to be established. The attraction for results emanating from this method, however, is that they reflect what happens in the field under natural conditions and so give the most realistic and reliable results. Few studies based on natural soil erosion are summarized in Table 2.

Lal [21] studied the effect of accumulative soil erosion for a 5-year period on the yields of maize and cowpea in Alfisols and reported that the reductions in their yields were, respectively, 9.0 and 0.7 kg ton<sup>-1</sup> of soil loss. He also obtained the following linear relationships between yield,  $Y$ , in tons ha<sup>-1</sup> and soil erosion,  $E$ , in tons ha<sup>-1</sup>:

$$\begin{aligned} Y_{\text{maize}} &= 5.95 - 0.009E, \quad r = -0.87^*, \\ Y_{\text{cowpea}} &= 0.407 - 0.0007E, \quad r = -0.66^*. \end{aligned} \quad (2)$$

It was reported from Tanzania that reductions in maize yields due to severe past erosion of soils ranged from 15 to 48% [11]. From runoff plots located on a sandy loam Ultisol in Kumasi, Ghana, subjected to four different tillage practices, Adama and Quansah [41] reported that the grain yield of maize,  $Y$ , in kg ha<sup>-1</sup> in the major season and cumulative soil loss,  $E$ , in tons ha<sup>-1</sup> in the same season plus that in the previous year were related thus:

$$Y = 2686 - 13.92E, \quad r = -0.94^*. \quad (3)$$

**2.3.3. Greenhouse Experiments.** Under greenhouse conditions, the yield of maize was found to be 20–50% (with a mean of 40%) higher on surface soil than on subsurface soil, the latter of which showed to be deficient in N and P [42]. Mbagwu [24] reported that without any amendment, maize and cowpea yields were, respectively, reduced by 58 and 19% on soils from runoff plots established 12 years earlier on an Ultisol in southeastern Nigeria, with a soil loss rate of 55 tons yr<sup>-1</sup>. With the addition of brewers' grains to the eroded soil under both crops, however, maize and cowpea showed lower yield reductions of 22 and 9%, respectively.

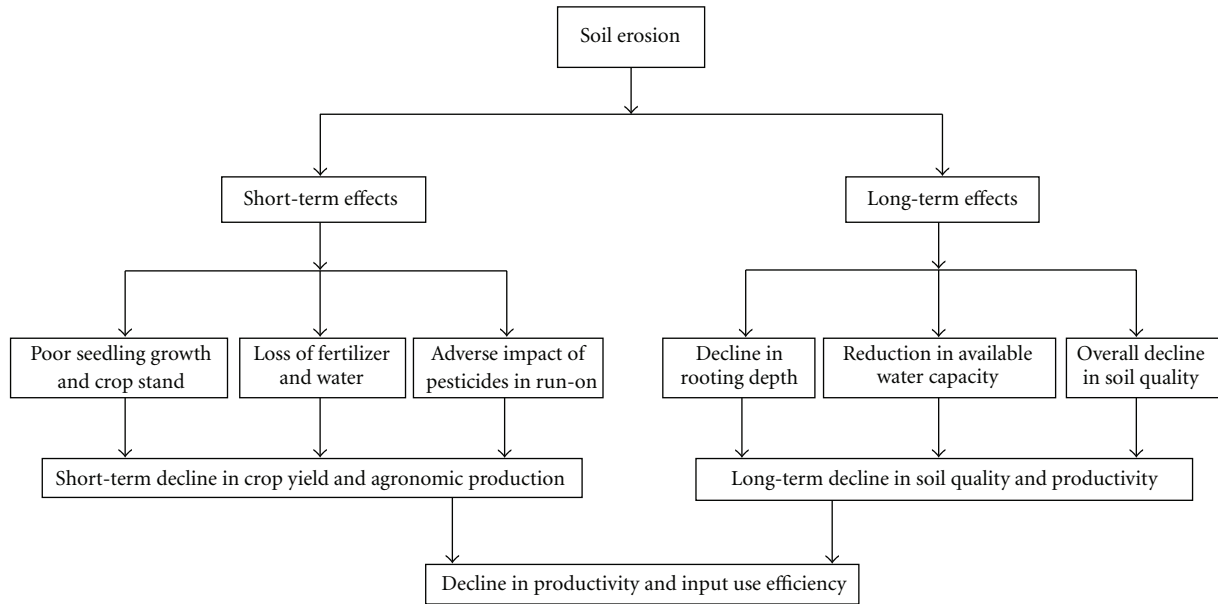


FIGURE 1: On-site effects of soil erosion on productivity decline (source: Lal et al. [11]).



FIGURE 2: A gullied farmland in southeastern Nigeria, after Igwe [39].

In a separate study, Mbagwu [36] reported that the topsoils outyielded the subsoils by a range of 18–40% on two Alfisols, two Ultisols, and one Inceptisol in southern Nigeria.

From the information for the desurfacing studies (Table 1), there appears to be a convex relationship between soil loss and productivity, that is, increasing productivity loss with increasing soil loss. The data also reveal that yield losses to soil erosion are more severe on Ultisols than Alfisols, thus implying that Ultisols have lower  $T$  values than Alfisols. This is attributed to the generally lower inherent fertility status of Ultisols than Alfisols [12, 40]. Yield reductions are also consistently lower for cowpea than for maize; irrespective of method of achieving soil loss, of soil order, and of location. This has been attributed to the ability of cowpea to nodulate, which maize could not do [40]. Notably, as the erosion severity increases, the percent reduction in the yield of

cassava (*Manihot esculentum* C.) increases, which is not the case with the other crops. The explanation lies in the fact that cassava is a deep-feeder crop, unlike cereals and legumes which are relatively shallow feeders.

Furthermore, the comparison of the data in Table 1 with those in Table 2 reveals that yield reduction per centimetre of soil loss is always higher on naturally eroded soils than in soils from where equivalent soil depths have been desurfaced. This could be due partly to the fact that rains compact the soil whereas desurfacing does not. On two adjacent plots, Lal [14] reported that the decline in maize yield by natural erosion was about 16 times more than that by desurfacing. However, the topsoil is never uniformly removed in one growing season by natural erosion as does desurfacing. Therefore, within the same time scale, the sudden and total disappearance of topsoil due to desurfacing would be expected to result in much stronger changes in soil properties than with natural soil erosion, such that the negative effect of erosion on soil productivity may be exaggerated [43]. And that is the reason why den Biggelaar et al. [5] view studies on present erosion as mimicking inappropriate soil management practices and their adverse effects. The data in Tables 1 and 2 thus support the view that erosion-productivity relationships generated by different methods are hard to compare [4, 43].

### 3. Sustaining Soil Productivity against Land Degradation in Sub-Saharan Africa

Using the study by Oyedele and Aina [25] in southwestern Nigeria as a reference point, soil chemical properties can account for over 75% of the variation in the yield of cereals from eroded soils in SSA. Thus, erosion-induced short-term decline in productivity is more easily compensated by

TABLE 1: Erosion-productivity relationship for soils of Sub-Saharan Africa (desurfacing experiments).

Soil loss (cm)	Yield reduction (%)	Soil order	Climate/location	Country	Source
Maize ( <i>Zea mays</i> L.) as a test crop					
2.5, 5, 7.5, 10, 12.5	23, 38, 49, 53, 56	Alfisol	Subhumid Ibadan	Nigeria	[19]
5, 10, 20	72.5, 82.6, 99.5	Alfisol	Subhumid Ilora	Nigeria	[40]
5, 10, 20	30.5, 73.6, 93.5	Alfisol	Subhumid Ikenne	Nigeria	[40]
5, 10, 20	95.4, 95.4, 100	Ultisol	Humid Onne	Nigeria	[40]
5	54.9	Alfisol	Subhumid Ilora	Nigeria	[36]
5	30	Alfisol	Subhumid Ikenne	Nigeria	[36]
5	15	Inceptisol	Subhumid Nsukka	Nigeria	[36]
5	69.7	Ultisol	Humid Onne	Nigeria	[36]
5	64.2	Ultisol	Subhumid Nsukka	Nigeria	[36]
10, 20	39.2, 81.7	Alfisol	Subhumid Ibadan	Nigeria	[14]
2.5, 7.5	50, >>100	Ultisol	Humid Douala	Cameroon	[14]
5, 10, 20	47, 48, 63	Lateritic Alfisol	Semiarid Ouagadougou	Burkina Faso	[14]
3, 6	23, 55	Ultisol	Subhumid Nsukka (1)	Nigeria	[3]
3, 6	50, 95	Ultisol	Subhumid Nsukka (2)	Nigeria	[3]
5, 10, 15, 20	56.0, 82.5, 90.0, 95.5	Oxisol	Subhumid Ile-Ife	Nigeria	[27]
15, 25	17, 67 (upper slope); 65, 76 (lower slope)	Gravelly Alfisol	Subhumid Ibadan	Nigeria	[29]
Cowpea ( <i>Vigna unguiculata</i> L.) as a test crop					
5, 10, 20	42.6, 33.1, 80.5	Alfisol	Subhumid Ilora	Nigeria	[40]
5, 10, 20	1.5, 59.1, 65.1	Alfisol	Subhumid Ikenne	Nigeria	[40]
5, 10, 20	62.0, 70.6, 68.3	Ultisol	Humid Onne	Nigeria	[40]
Cassava ( <i>Manihot esculentus</i> C.) as a test crop					
10, 20	35.7, 53.7	Alfisol	Subhumid Ibadan	Nigeria	[40]

Quantification was achieved where both the depth of soil loss and the yield reduction were given by the authors or could be calculated from the information they presented.

TABLE 2: Erosion-productivity relationship for soils of Sub-Saharan Africa (natural erosion).

Soil loss (cm)	Yield reduction (%)	Soil order	Climate/location	Country	Source
Maize ( <i>Zea mays</i> L.) as a test crop					
0.0024	26.9	Alfisol	Semiarid Harare	Zimbabwe	[14]
0.0080	0.1513	Alfisol	Subhumid Ibadan	Nigeria	[21]
0.0080	0.1720	Alfisol	Subhumid Ibadan	Nigeria	[21]
Pearl millet ( <i>Pennisetum americanum</i> L.) as a test crop					
0.0928	51.6	Aridisol	Semiarid Niangoloko	Burkina Faso	[14]

All soil erosion rates were converted to equivalent depths of soil loss, assuming a bulk density of  $1.25 \text{ mg m}^{-3}$ .

inorganic and/or organic fertilization and supplemental irrigation, as opposed to long-term decline in productivity [11]. However, the efficiency of inorganic fertilizer in an eroded soil where the physical properties are degraded alongside chemical nutrients depletion depends, to a large extent, on the dynamic relationship between the level of harm done to the soil's physical condition and the level of progress made in the difficult task of improving it [35, 44, 45]. Such a situation needs a combination of carefully selected, suitable management practices depending on the shape of the yield reduction function. In Nigeria, for instance, research evidence from eroded Alfisols suggests that, rather than inorganic fertilization, application of poultry manure and fallowing to various grass and leguminous species for

two years could improve the soil physicochemical properties and productivity [29, 46].

The situation in SSA calls for more sustainable farming systems and underscores the need to look beyond the use of inorganic fertilizers as a means of restoring the productivity of naturally eroded soils in the region. Except in the case of gullies where urgent intervention may be needed, incorporation of cover cropping into our agronomic systems can help to conserve "yet-to-be-degraded" soils against degradation while forestalling further erosion from already "degraded" upland soils [33]. Such a soil management practice allows eroded soils the chance to restore the loss in productivity at a rate commensurate with their resilience. For some time now, however, the question has been on

how to accommodate better the problem of soil erosion in SSA as part of livelihood strategies [13]. We propose in this paper that it would be more profitable to focus greater efforts on developing our huge lowland resources with the *sawah* ecotechnology. The *sawah* system is based on the concept of watershed development and, so, is an adaptation of the Japanese “Satoyama” system to African environments. Figure 3 is an example of African “Satoyama” concept, which is a watershed agroforestry applicable to cocoa belt region in West Africa.

*Sawah* refers to a lowland field that is demarcated using earthen bunds, puddled and leveled using a hand-operated power tiller, transplanted to a high-yielding rice variety in rows, and kept under regulated submergence throughout the growing season (Figure 4). Thus unlike the traditional lowland rice field that is a diverse and mixed-up environment, the lowland *sawah* system is a diverse and intensified rice-growing environment that is characterized by well-designed and well-demarcated field condition with clearly defined management of soil, water, and nutrient resources. The term *sawah* is of Malayo-Indonesian origin but has been adopted in SSA as corresponding to paddy fields in Asia. The adoption became necessary in order to differentiate the technology from unprocessed rice grain, upland rice field, or traditional lowland rice field (all of which are regularly referred to as paddy in SSA). It is hoped that the clearing of these terminological uncertainties would foster the sharing of ideas and strategies among all the stakeholders in rice production [16].

#### 4. Why the Lowland *Sawah* Ecotechnology?

There is no gainsaying that food production in SSA needs to transit for its present level to the next level in terms of simultaneously increasing the output and conserving the natural environments. One of the ways of achieving this task is to work towards modifying the offsite effect of erosion, such that rather than compromising environmental quality, eroded sediments that eventually get deposited in the lowlands can be harnessed to contribute to agricultural production and environmental quality using such an appropriate technology as the lowland *sawah* systems. Because of the significant contribution of this sediment deposition process (otherwise known as geologic fertilization) to the fertility of lowland soils of SSA [48], the case for the *sawah* ecotechnology is clearly that of diverting attention from onsite to offsite as a means of coping with the problem of soil erosion.

In the first place, out of the about 2.4 billion ha of land in SSA, lowlands comprise about 250 million ha [49]. This implies that lowlands occupy above 10% of the region’s land mass. The majority of the lowlands have huge potential for increasing agricultural production in SSA, yet many of them remain unexploited and most others grossly underutilized [50]. In his essay, “African Green Revolution needn’t be a mirage,” Ejeta [15] noted that in Africa where the culture of looking up to science for solutions to local problems is not well established, the people can realize Green Revolution

with locally developed and locally relevant technologies. We can thus rhetorically “look downwards to a lowland technology” as an alternative to our quest for a sustainable agricultural production system in Africa. The people are increasingly conscious of this option. Consequently, gone are the days before the mid 1990s when there was a greater emphasis on growing rice in upland agricultural soils than in the lowland ecosystems under rainfed conditions [16, 51]. In West Africa that leads the rest of SSA in rice production, for instance, the ratio of uplands to lowlands in terms of area under rice is 10.00 : 6.13, and this ratio is rapidly decreasing [52].

Similar to their attitude of not looking up to science for solutions to local problems, African farmers tend to be alienated from any science-oriented agricultural production system that is not rooted in their farming culture and to which their indigenous knowledge does not make any contribution. To buttress this point, the peoples’ shift of preference from upland to lowland farming has been identified as one of the reasons for the failure of agroforestry to achieve the success expected of it at the onset [51]. This may not be the case with the *sawah* ecotechnology in the lowlands where rice has been a traditional crop in Africa. Instead, the farmers in the region view the technology as that which is taking them from what they already know to how they can do it better. Apart from being agroecosystems that the farmers are familiar with, lowlands denote agroecologies of low elevation and so mostly offer favourable hydrological conditions for the rice crop. Particularly in the Equatorial Forest and the Guinea Savanna Zones, precipitation and lateral groundwater flow from the adjacent uplands cause the lower footslopes and valley bottoms to be saturated or flooded for a certain period, thereby ensuring a potentially long cropping period that permits either double rice cropping or cultivation of vegetables and root crops after rice [49].

Moreover, sediments from such runoffs can engender favourable soil hydrophysical status for *sawah*-managed rice, and this is usually most evident in the extreme valley bottoms [53]. There is thus more to the aforementioned geological fertilization. Such a natural mechanism of replenishment of soil “physical” and “chemical” fertility can be imagined from Figure 3. The aspect of enriching *sawah* system with plant nutrients is particularly cherished because of the inherently low-fertility status of the lowland soils in SSA [54] vis-à-vis the relatively low level of fertilizer use by SSA farmers [55]. Owing to the topographic position of the lowlands and to the ecological engineering works that go with *sawah* systems design, erosion is reduced to almost zero in these ecologies with the *sawah* ecotechnology. This, among other benefits, assures that the topsoil that is characterized by low bulk density especially early in the season (due to the puddling exercise) is not washed away, thus sparing the nutrient-rich sediments transported from the uplands. The technology is therefore very effective for conserving soil, water, nutrients, and the overall environment.

An earlier proposal for rice farming in West Africa is that uplands should be cultivated with short-to-long fallow periods, whereas large inland valleys, coastal plains,

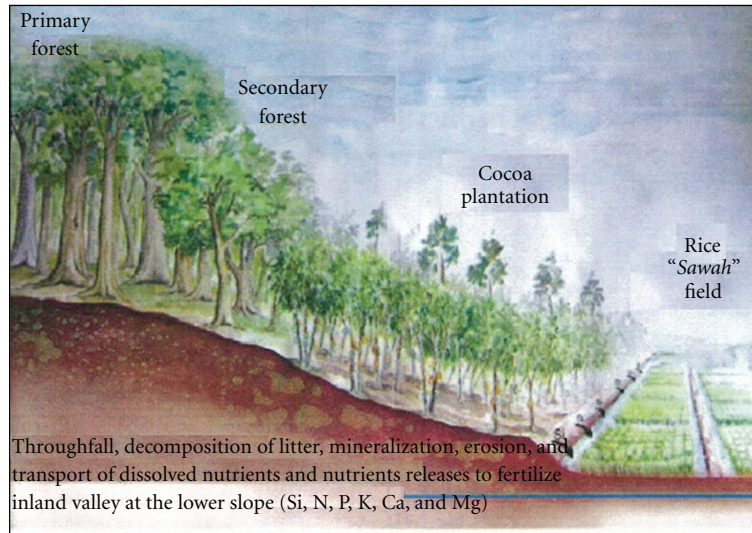


FIGURE 3: A typical example of African SATO-YAMA Concept developed by the Forest Research Institute of Ghana, after Owusu-Sekyere et al. [47].



FIGURE 4: A newly developed *sawah* field located in an inland valley in Jega, Kebbi State of Nigeria.

and floodplains should be cultivated more intensively [49]. However, the existing research concept to improve natural resource management in SSA may not bring about the desired results among the lowland rice farmers, unless there is a clearly defined research concept to improve soil and water conditions of the lowlands. Application of the three core Green Revolution technologies (high-yielding varieties, inorganic fertilizers, and irrigation facilities) outside the *sawah* system can even degrade the environment, such as that emanating from inefficient fertilizer use under situations of poor water management prevailing in non-*sawah* rice fields [48]. At the moment, the *sawah* ecotechnology appears to bring to an end the search for a farming system that addresses this issue in the region. So, for the advocacy for increased fertilizer use in Africa [55] to suitably apply to lowlands, *sawah* systems must first be put in place. The farmers themselves now know that the high-yielding varieties respond well to fertilizers only when they are grown

under favourable soil and water conditions [16]. The *sawah* ecotechnology is therefore the only rice-farming system in the lowlands that can permit the proposed intensive cultivation of these rice ecologies on a sustainable basis, that is, without compromising high yields and environmental quality [48].

The *sawah* ecotechnology in the lowlands has a lot of prospects for coping with land degradation and ensuring sustainable agricultural production in SSA. Our 15-year and continuing trials in Ghana and Nigeria have demonstrated that the *sawah* system is the prerequisite for successfully applying the other Green Revolution technologies to realize lowland rice potential in SSA. The technology is farmer-friendly because the farmer is empowered to have absolute control and management of water in his field, which enables them to enjoy a flexible—and hence convenient—time table for the farming season. We hypothesize that if the farmer is placed at the centre of the creation of lowland *sawah* systems, field water control can be more effective and the struggle for a sustainable rice production system and a rice Green Revolution in SSA can be won. This is our *sawah* hypothesis I.

Furthermore, a properly managed *sawah* system has the potential of providing ecosystem services. This is mainly through enhanced C sequestration in forests and soils and the associated alleviatory effect on global warming problems [50]. The *sawah* system also neutralizes the soil pH thereby enhancing the availability of P and micronutrients in the soil. Such a condition of favourable soil nutrient status encourages the proliferation of a myriad of mostly anaerobic and photosynthetic microbes which, through a microbial nanowire collaborative network, constitute strong mechanisms for biological N fixation. In Asia, this phenomenon can result in annual values ranging from 20 to 200 kg N ha<sup>-1</sup>, depending on the biophysical and the rice-growing environments [48]. The *sawah* system, thus, does not depend on only *Azolla* to sustain biological N in the soil.

Other benefits of the *sawah* system include favourable soil redox processes and suppression of weed growth due mainly to both the submerged soil condition and good tillering.

Above all, the mean grain yield of upland rice in West Africa is about 0.9 tons ha<sup>-1</sup> [49]. To show that such low yields relate largely to the growing ecology and farming system, some scientists recently reported that the mean grain yield of the new rice cultivar for Africa (NERICA) from three locations in southern Benin was only 1.14 tons ha<sup>-1</sup>, the fact that it was grown on previously fallowed uplands and with adequate fertilization notwithstanding [56]. On the other hand, rice grain yield under the *sawah* system ranges from 4.0 to 8.0 tons ha<sup>-1</sup>, depending on the rice variety grown, external input level, water management, and other agronomic and management practices [57, 58]. On the average, therefore, the data just stated represent roughly between 4 and 8 times lower grain yield of rice under the upland growing systems than under the novel lowland *sawah* systems.

However, considering the fact that the upland system involves fallow periods which are not necessary under the *sawah* system, the yield gap between the two systems widens. At least 10 ha of upland is taken to be an equivalent of 1 ha of lowland *sawah* in terms of yield in a growing season. This is our *sawah* hypothesis II. In other words, each hectare of lowland *sawah* field enables the conservation of at least 10 ha of forest area. *Sawah* fields can thus foster both increased food production and forest conservation, which in turn enhances the sustainability of intensive lowland *sawah* systems by way of enhanced water conservation and supply of fertile topsoils through the geological fertilization. All this points to the sustainable nature of *sawah* systems compared to the upland rice culture which is mostly characterized by slash and burn, thereby degrading further our agroecological systems and environments.

## 5. Challenges of the *Sawah* Ecotechnology in Sub-Saharan Africa

Lowlands are particularly vulnerable to climate and environmental changes. For instance, the rise in sea level associated with contemporary global warming would, by modifying the coastal environments, ultimately affect the hydrological conditions of the lowlands. Hence, the lowlands are occasionally subject to such natural disasters as flooding. Multidisciplinary research is thus needed to reinforce the lowland *sawah* ecotechnology against such disasters. Closely related to this in the SSA environments is the need to empirically devise a means of coping with the possible adverse effect of the destabilization of soil structure by puddling. Granted that erosion is not a problem in lowland *sawah* soils, puddled soils may behave differently in the event of flood disasters if the soil structure does not regenerate properly. The off-season structural status of puddled lowland soils can also influence the performance of any crop grown after rice, thus stressing the need for a research on post-*sawah* crops that would maximize the use of the lowland soil resources in the region.

Furthermore, considering the importance of natural soil fertility replenishment as a way of minimizing inorganic fertilization and the associated reduction in economic returns, the extent of geological fertilization in different topographical and land-cover conditions needs to be quantified. Similarly, we only know of the extent of biological nitrogen fixation in Asian paddy fields, such is yet to be evaluated for the *sawah* systems in SSA with a different hydrophysical environment [50]. This is important, considering the low geological fertilization of the lowlands with respect to total N compared to available P [32, 33]. Finally, the *sawah* hypothesis II is yet to be validated in SSA environments. All this is needed to strengthen the case for the *sawah* systems as a means of simultaneously mitigating land degradation, ensuring sustainable rice production and promoting ecological wellbeing.

## 6. Perspectives

In most of the SSA, land degradation potentially undermines efforts towards sustainable agricultural production and so poses a major threat to the future of agriculture. Regrettably, available data to date on the quantitative relationship between soil loss and reductions in crop yield in the region are still fragmentary and grossly insufficient. The little available data, though characterized by a wide disparity, highlight the enormous loss of soil productivity to erosion in the region. The *sawah* ecotechnology for lowland rice production holds a lot of prospects. Although concentrated in the lowlands, well-managed *sawah* systems can help to conserve soil and water in the entire watershed. With the technology, SSA countries have the opportunity of achieving self-sufficiency in rice production while enhancing the quality of their environments. Although there are still areas needing long-term collaborative research in the adaptation of the *sawah* systems to SSA environments, we are so far convinced that proper application of the *sawah* ecotechnology at the rice farmer's field is a prerequisite for successfully applying other Green Revolution technologies.

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